

1 **Antimicrobial and physicochemical characterization of whey**
2 **protein concentrate edible films incorporated with liquid smoke**

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26 **ABSTRACT**

27

28 The incorporation of commercial liquid smoke (LS) to edible films was investigated for the
29 first time. The objective of this investigation was to characterize whey protein concentrate
30 (WPC)-based edible films incorporated with LS. According to the bactericidal activity of LS
31 against *Escherichia coli*, *Staphylococcus aureus*, *Salmonella* Typhimurium, and *Listeria*
32 *monocytogenes* in liquid medium, WPC-based films incorporated with 0, 5, 10, and 15% (v/v)
33 LS were prepared. Inhibition zone in solid media, thickness, transparency, color and
34 mechanical properties of the films were analyzed. Films including LS were effective to
35 prevent growth of *L. monocytogenes* in the agar diffusion test. Analyzing color parameters,
36 the incorporation of LS into films caused a decrease in L* and an increase in both a* and b*.
37 However, these sensory changes were not detrimental for their potential use in food
38 applications. Noticeably, tensile strength and elongation tend to increase when LS was added
39 into films formulation. Depending on its content, different protein-LS interactions could be
40 generated, positively affecting the mechanical properties of films. In conclusion, WPC-based
41 edible films incorporated with LS may be suitable for being applied to food surfaces and
42 useful to prevent the superficial growth of the globally recognized high-risk foodborne
43 pathogen *Listeria monocytogenes*.

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45

46 **Keywords:** whey protein films, liquid smoke, antimicrobial properties, physicochemical
47 characterization.

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49 **1. Introduction**

50 Packaging is widely used for protection of food quality, thereby ensuring hygiene and
51 extending the shelf life of perishable items, especially those susceptible to oxidative and
52 microbiological deterioration (Ahmad, Benjakul, Prodpran, & Agustini 2012). Nowadays,
53 packaging research is receiving a considerable attention due to the development of eco-
54 friendly materials made from natural polymers often from waste products from agriculture,
55 livestock raising, or fishing. Such polymers may be protein, lipid, or polysaccharide based
56 and could be used to formulate edible films which can be an integral part of the food, or act as
57 a complementary packaging thereby reducing the level of material discarded to the
58 environment (Gómez-Estaca, Giménez, Montero, & Gómez-Guillén, 2012). In particular, the
59 use of whey proteins to manufacture films has received a great deal of attention since these
60 proteins allow upgrade of a cheese-making effluent, and possess interesting mechanical and
61 barrier properties (Ramos *et al.*, 2013).

62 The use of edible films incorporating plant extracts, essential oils, antioxidants, colorants,
63 flavors, fortifying nutrients or spices could offer some additional benefits such as the improve
64 of nutritional and/or organoleptic characteristics of the product to which it is applied
65 (Bourtoom, 2008; Falguera, Quintero, Jiménez, Aldemar Muñoz, & Ibarz, 2011). Edible films
66 can also serve as carriers for a wide range of GRAS additives, including compounds with
67 antimicrobial properties that can extend product shelf life and reduce the risk of growth of
68 pathogenic and food spoilage organisms on food surfaces. Several antimicrobial edible films
69 have been developed to minimize growth of microorganisms which may contaminate the
70 surface of cooked ready-to-eat foods after processing. Some of the more commonly used
71 preservatives and antimicrobials includes organic acids such as benzoates, propionates or
72 sorbates, among others (Appendini & Hotchkiss, 2002; Suppakul, Miltz, Sonneveld, &
73 Bigger, 2003, Cagri, Ustunol, & Ryser, 2004; Kuorwel, Cran, Sonneveld, Miltz, & Bigger,

74 2011; Kraśniewska & Gniewosz, 2012; Rocha, Ferreira, Souza, & Prentice, 2013; Pérez,
75 Soazo, Balagué, Rubiolo, & Verdini, 2014).

76 The greater consumer awareness and concern regarding synthetic chemical additives had
77 improved the popularity of foods preserved with natural additives. Smoke flavourings have
78 been used for some 30 years as preservatives and aromatizers of meat and fish (Martinez,
79 Salmerón, Guillén, & Casas, 2007). Many authors have studied the antimicrobial activity
80 (Vitt, Himelbloom, & Crapo, 2001; Suñen, Aristimuno, & Fernandez-Galian, 2001; Holley &
81 Patel, 2005; Milly, Toledo & Ramakrishnan, 2005; Gedela, Escoubas, & Muriana, 2007a;
82 Gedela, Gamble, Macwana, Escoubas, & Muriana, 2007b; Martin *et al.*, 2010; Morey,
83 Bratcher, Singh, & McKee, 2012), antioxidant effects (Coronado, Trout, Dunsheac, & Shah,
84 2002; Huang, Chang, Sung, Vong, & Wang, 2011) and organoleptic properties (Guillén &
85 Ibargoitia, 1998; Ojeda, Bárcenas, Pérez-Elortondo, Albisu, & Guillén, 2002) of smoke
86 flavourings. Liquid smoke (LS) is a solution of natural wood smoke flavors produced by
87 condensing wood smoke created by the controlled, minimal oxygen pyrolysis of sawdust or
88 wood chips (Lingbeck *et al.*, 2014). Some authors studied the composition of LS preparations
89 and the sensory properties of various smoke fractions and isolated compounds (Montazeri,
90 Oliveira, Himelbloom, Leigh, & Crapo, 2013; Pino, 2014). LS was reported to offer many
91 advantages over traditional smoking in a kiln, namely ease of application, speed and product
92 uniformity. Commercial LS products contain phenols, carbonyl compounds and acetic acid,
93 which are bactericidal at relatively low concentrations. LS can inactivate both food spoilage
94 organisms and common food-borne pathogens, including *Escherichia coli*, *Salmonella sp.*,
95 *Staphylococcus aureus*, and *Listeria monocytogenes* (Vitt *et al.*, 2001; Holley & Patel, 2005;
96 Milly *et al.*, 2005; Gedela *et al.*, 2007a,b; Martin *et al.*, 2010; Morey *et al.*, 2012; Lingbeck *et*
97 *al.*, 2014). Based in all these evidences, antimicrobial, antioxidant, coloring, and flavouring
98 properties, makes LS a potentially attractive additive for edible films. Moore & Moore (1998)

99 reported the incorporation of LS into edible films made from different polymers such as
100 hydroxymethyl propyl cellulose, casein, carrageenan, or whey proteins; but films
101 characteristics were not fully analyzed. Taking into account that edible films are
102 heterogeneous in nature, physicochemical properties can be seriously affected due to the
103 interactions between proteins and added components (Ahmad, Benjakul, Prodpran, &
104 Agustini, 2012; Salgado, López-Caballero, Gómez-Guillén, Mauri, & Montero, 2013).
105 In the present work, commercial liquid smoke (LS), a widely used surface antimicrobial, was
106 incorporated for the first time to edible films. Therefore, the objective of this investigation
107 was to characterize the microbiological and physicochemical aspects of WPC-based edible
108 films formulated with the addition of different concentrations of commercial LS.

109

110 **2. Materials and methods**

111

112 **2.1. Materials**

113 Whey protein concentrate (WPC) 80% (Arla Food Ingredients S.A, Martinez, Argentina) was
114 used as the main component to prepare film forming solutions. Glycerol (Gly) (Cicarelli, San
115 Lorenzo, Argentina) was added as plasticizer. Liquid Smoke (LS) (San Giorgio, Buenos
116 Aires, Argentina) was incorporated as additive. Trypticase Soy Broth (TSB), Trypticase Soy
117 Agar (TSA), and Oxford Modified Agar Base culture media were purchased from Britania
118 (Buenos Aires, Argentina). All other reagents were of high-purity grade and used as received.

119

120 **2.2. Characterization of commercial LS**

121 LS was characterized according to its color, total solid content, pH, titratable acidity, total
122 phenol derivatives, total carbonyl derivatives, particle size distribution, and ζ -potential. pH
123 was recorded with a pH-meter (Metrohm 713, Metrohm Ltd., Herisau, Switzerland). Total

124 solid content was calculated as the remaining weight of LS after drying at 105 °C and was
125 expressed as percentage of the liquid sample. For titratable acidity (as % acetic acid) LS was
126 diluted in deionized water and titrated to pH 8.3 using 0.1 N NaOH (Montazeri *et al.*, 2012).
127 Total phenol derivatives were estimated through a modification of the Folin Ciocalteu method
128 and were expressed as mg of gallic acid equivalent (GAE) kg⁻¹ (Chun, Kim, Smith,
129 Schroeder, Han, & Lee, 2005). Total carbonyl derivatives were determined by a method based
130 on their reaction with 2,4-dinitrophenylhydrazine to form 2,4-dinitrophenylhydrazone
131 (ASTM-E411) and were expressed as mg of carbonyl kg⁻¹. Particle size distribution and ζ-
132 potentials of LS aqueous solutions adjusted to the pH value achieved in film forming
133 solutions (5, 10, and 15% LS, pH 5.7, 5.2, and 4.8, respectively) were measured using a
134 particle analyzer (Horiba Nano SZ-100, Horiba Scientific, Kyoto, Japan). Since the LS
135 manufacturer reported the presence of potassium sorbate, this preservative was quantified by
136 HPLC. A chromatography column C18, 25 cm x 4.6 cm, 5 μm (Supelco, PA, USA) and an
137 UV/VIS detector (Gilson 151, Gilson, WI, USA) were used. The determination was carried
138 out at room temperature operating with a flow rate of 1 mL min⁻¹ and isocratic elution with
139 sodium acetate (pH 4.2)/ acetonitrile in relation 80/20 as mobile phase. All above
140 determinations were performed in triplicate. LS characteristics are showed in Table 1.

141

142 **2.3. Inhibitory activity of LS in liquid media**

143 Minimum inhibitory concentration (MIC) of LS against *Escherichia coli* O157:H7 ATCC
144 43895, *Staphylococcus aureus* ATCC 43300, *Salmonella* Typhimurium ATCC 14028, and
145 *Listeria monocytogenes* ATCC 19115 was estimated according to the National Committee for
146 Clinical Laboratory Standards-recommended macrodilution broth method (2009) as described
147 by Pérez *et al.* (2014). Briefly, an overnight culture of each bacterial strain in TSB was
148 adjusted to McFarland 0.5 standard in saline solution (approximately 5 x 10⁷CFU/mL) and

149 used as test inoculum. One mL of the bacterial inoculum was added and mixed with 1 mL of
150 each LS solution in TSB (pH 5.5). The medium condition (pH 5.5) was selected in order to
151 provide a pH value similar to those reported for foods like cheeses and meats (Casp & Abril,
152 2003), but considering the acidity limit for pathogens growth and survival (Kaper, Nataro, &
153 Mobley, 2004). The LS concentrations evaluated were 0.625, 1.25, 2.5, 5.0, and 10% (v/v). A
154 control tube without LS was inoculated to test microbial growth and a tube containing only
155 broth medium was evaluated to discard possible contaminations. All tubes were incubated
156 during 48 h at 37 °C. The MIC values were estimated as the lowest concentration of LS that
157 completely inhibits growth of the microorganism tested as can be detected by the unaided eye
158 (*i.e.*, no turbidity after incubation was an indicative of growth inhibition). Growth control
159 tubes to assess MIC end points were also evaluated.

160 To evaluate the minimum bactericide concentration (MBC) 100 µL of negative tubes (*i.e.*
161 showing no turbidity in the MIC determination assays) were sprayed into Petri dishes
162 containing TSA (pH 7.2). The inoculated plates were incubated at 37 °C during 48 h and the
163 MBC was determined as the lowest concentration of LS yielding colony counts less than
164 0.1% of the initial inoculum.

165

166 **2.4. Preparation of film-forming solutions**

167 Edible films (casting solution 11.5% total solids) were obtained with a modification of the
168 method described by Soazo, Rubiolo & Verdini (2011). Briefly, WPC and Gly (in proportion
169 WPC/Gly 3:1 w/w dry solid basis) were dissolved in distilled water. After mixing, the
170 solution was heated at 90 °C for 30 min in a water bath (TDS-40, TecnoDalvo, Santa Fe,
171 Argentina) to achieve whey proteins denaturation. Then, whey protein solutions were
172 homogenized (5 min; 20000 rpm) with an Omni GLH homogenizer (Omni International Inc.,
173 Kennesaw, USA). Finally, solutions were degassed by sonication (Cole-Parmer 8890E-MT,

174 Cole-Parmer, Buenos Aires, Argentina) during 60 min and LS was added at 0, 5, 10, and 15%
175 (v/v). The pH of the film forming solutions were 6.5, 5.7, 5.2, and 4.8 corresponding to
176 solutions with 0%, 5%, 10%, and 15% LS, respectively. The pH decrease of the film forming
177 solutions due to the acidity of LS may overlap with the effect of most LS compounds,
178 considering that smoke condensates mainly contains phenols, carbonyls, and organic acids
179 (compounds that have acid-base behavior). Thus, to discriminate if film properties when LS
180 was added, are related only to pH variations or to the combination of pH and compounds
181 present in LS itself, pH Control films (*i.e.*, without LS) were prepared adjusting the pH of the
182 solution to 5.7, 5.2 and 4.8 with 1.0 N HCl. Then, the films obtained from such solutions were
183 named as C 5%, C 10% and C 15%, respectively.

184

185 **2.5. Film formation**

186 Films were prepared by pipetting 8 g of the degassed solutions on 90 mm diameter disposable
187 polyethylene Petri dishes. Films were dried on a leveled surface in an environmental chamber
188 (SCT Pharma, Temperley, Argentina) at 25 °C and constant relative humidity (RH, 58%).
189 After drying, films were removed from the plates and were conditioned in the environmental
190 chamber set at 25 °C and 58% RH for 24 h. Films used in the different tests were selected
191 based on the lack of physical defects such as cracks, bubbles and holes.

192

193 **2.6. Inhibition zone assay in agar media**

194 The antimicrobial activity of WPC-based edible films incorporated with LS was evaluated by
195 the inhibition zone assay in solid media as described by Pérez *et al.* (2014). Briefly, films
196 were aseptically cut in 12 mm diameter discs using a sterile cork borer. Then, discs were
197 aseptically transferred to pour plates containing 10 mL of Oxford modified agar (for *L.*
198 *monocytogenes*) or TSA (for *E. coli*, *S. aureus*, and *S. Typhimurium*) both media acidified to

199 pH 5.5 with 1.0 N HCl, which had been previously seeded with a bacterial suspension
200 adjusted to 0.5 McFarland standard in saline solution. After overnight incubation (~18 h) at
201 37 °C the diameter of the inhibition zone represented by a clear area of non-growth around the
202 film disc was measured perpendicularly using a caliper. Films without LS were used as
203 negative controls of the assay. Experiments were performed in triplicate. The medium
204 condition (pH 5.5), as explained previously, provided a pH value similar to those reported for
205 foods like cheeses and meats, but considering the acidity limit for pathogens growth and
206 survival.

207

208 **2.7. Film thickness**

209 Film thickness was measured with a digital micrometer (Schwyz, China). For each film, nine
210 thickness measurements were taken. Averaged values were calculated and used in next
211 studies.

212

213 **2.8. Optical properties**

214

215 **2.8.1. Transparency**

216 The visible light barrier properties were measured on films at selected wavelengths (in the
217 400-800 nm range), using a spectrophotometer (Jasco V-530, Jasco International, Tokyo,
218 Japan). Film samples were cut into rectangular pieces (10 mm x 30 mm) and placed on the
219 internal side of a spectrophotometer cell. The relative transparency of films was measured at
220 560 nm as described by Pérez *et al.* (2014). Five replicates of each film were tested.
221 Transparency (%) was calculated as the percentual relationship between the light intensity
222 with the specimen in the beam and the light intensity with no specimen in the beam.

223

224 **2.8.2. Color analysis**

225 Films were cut in 12 mm diameter discs using a cork borer and were used to obtain the digital
226 images. A wooden box constructed according to the design described in Mendoza and
227 Aguilera (2004), with some modifications, was used. Samples were illuminated using 4
228 fluorescent lamps (Osram, Biolux, Natural Daylight, 18W/965, München, Germany) with a
229 color temperature of 6500 K (*D65*, standard light source commonly used in food research)
230 and a color-rendering index Ra of 95%. Additionally, electronic ballast and an acrylic light
231 diffuser ensured uniform illumination system. Discs were photographed employing a digital
232 camera (Nikon P 7100, Nikon, Jakarta, Indonesia) on a matte white background using the
233 following camera settings: manual mode with lens aperture at $f = 8$, time of exposition 1/50,
234 no flash, ISO sensibility 400, maximum resolution, and storage mode in RAW format.

235 The International Color Consortium (ICC) profile was used and images were processed to
236 obtain L^* , a^* , and b^* parameters average values (considering the whole sample) using
237 Photoshop® (Adobe Systems Inc., Mountain View, USA), as explained in Soazo *et al.*
238 (2015).

239

240 **2.9. Tensile test**

241 Tensile test was carried out using a Multi Test 2.5-D motorised test frame (Mecmesin, VA,
242 USA) equipped with a 25 N digital force gauge. Films were cut into strips (7 mm x 60 mm)
243 using a scalpel. Strip ends were mounted with double sided tape and rectangles of 30 mm
244 wide and 10 mm length of cardstock. The exposed film strip length, between cardstock ends,
245 was 30 mm. The cardstock pads were placed at the ends of film strips to prevent tearing and
246 slippage in the testing device (Shellhammer & Krochta, 1997). Probes, prepared as explained
247 previously, were conditioned for 1 day at 25 °C and 58% RH. The initial grip distance and
248 crosshead speed were 30 mm and 0.05 mm/s, respectively. The parameters obtained from

249 stress-strain curves were: tensile strength (TS) calculated by dividing the peak load by the
250 cross sectional area (thickness of film × 7 mm) of the initial film, and elongation (E)
251 calculated as the percentile of the change in the length of specimen respect to the original
252 distance between the grips 30 mm (Han, Seo, Park, Kim, & Lee, 2006). Five replications were
253 performed.

254

255 **2.10. Statistical analysis**

256 Statistical analysis was performed using Statgraphics Plus 5.1 program (Statpoint
257 Technologies, Inc., Warrenton, USA). Analysis of variance (ANOVA) was used to analyze
258 data and when the effect of the factors under study was significant the test of multiple ranks
259 honestly significant difference (HSD) of Tukey was applied. A significance level of $\alpha = 0.05$
260 was used.

261

262 **3. Results and discussion**

263

264 **3.1. Inhibitory activity of LS**

265

266 **3.1.1. Liquid media**

267 To examine the antimicrobial properties of the commercial LS, *E. coli*, *S. aureus*, *S.*
268 *Typhimurium*, and *L. monocytogenes*, which are very significant pathogens in the food
269 industry, were tested. The MIC and MBC values for LS were 5% v/v for all strains, indicative
270 of a bactericidal activity, showing that the LS used in the present work was equally effective
271 for all strains analyzed. These data were used to define the concentration of LS subsequently
272 incorporated into edible film formulations. Milly (2003) discussed the difficulty of identifying
273 the mechanism and compounds responsible for the microbial inhibition of LS. Consequently,

274 the efficacy of smoke condensates with regard to antimicrobial potential depends on the
275 concentration of phenols, carbonyls, and organic acids and the test microorganism (Milly *et*
276 *al.*, 2005; Gedela *et al.*, 2007a,b; Montazeri *et al.*, 2012; Lingbeck *et al.*, 2014). The
277 characterization of the LS used in this investigation confirmed the presence of carbonyl and
278 phenolic compounds (Table 1).

279

280 **3.1.2. Inhibition zone assay in agar media**

281 Table 2 shows that films containing LS were only effective to inhibit growth of *L.*
282 *monocytogenes*, whereas failed to restrain *E. coli*, *S. Typhimurium*, and *S. aureus*. Film discs
283 with LS contents of 5%, 10%, and 15% inhibited *L. monocytogenes* with inhibition zones
284 ranging from 1.7 ± 0.6 to 5.5 ± 0.5 mm, being inhibition zones dependent on LS content. 0%
285 films and pH Control films were always non-inhibitory. Interestingly, despite the lack of an
286 inhibition zone around the film discs incorporating LS observed for *E. coli*, *S. aureus*, and *S.*
287 *Typhimurium*, no evidence of microbial growth over the discs was observed.

288 Although the antimicrobial activity of LS depends on the concentration of phenols, carbonyls,
289 and organic acids and the test microorganism, and considering that in liquid media all strains
290 analyzed showed equal MIC and MBC values, results were strain-dependent when LS was
291 incorporated into WPC-based films. When LS activity is tested in liquid media, compounds
292 with antimicrobial activity are free and available to move and react. However, when LS is
293 incorporated into edible films, such compounds may be retained in the film matrix, and thus
294 their antimicrobial activity could not be evidenced due to some restrictions in the diffusion of
295 chemicals from WPC films to solid media. Carbonyl and phenolic compounds may strongly
296 interact with milk proteins at film matrix (Damodaran & Kinsella, 1980; Ozdal, Capanoglu, &
297 Altayb, 2013). Recently, Zhang *et al.* (2014) reported that phenolic acids interact with the
298 structural subunits of the two most abundant proteins in milk whey, α -lactalbumin and β -

299 lactoglobulin, thus altering protein conformation. Therefore, it is feasible that LS carbonyl
300 and phenolic compounds with antimicrobial properties could be retained by the WPC film
301 matrix. In addition, the diffusion of the different compounds with antimicrobial activity
302 present in LS may be related to both particle size and interaction with WPC matrix. Overall,
303 *L. monocytogenes* may be more sensitive than the other strains to some compounds released
304 from the films.

305 Moreover, as can be seen in Table 1, the low concentration of potassium sorbate added by the
306 manufacturer into the original LS product would not be responsible for the observed
307 antimicrobial activity of films, since at the maximum concentration of LS incorporated (15%
308 v/v) the final concentration of potassium sorbate in the films was approximately 0.01%.
309 Previous results from our group showed no evidence of antimicrobial activity against the four
310 strains analyzed in the present study for WPC-based films formulated with the incorporation
311 of 0.25% potassium sorbate (Bessone, 2015).

312

313 **3.2. Optical properties**

314 The addition of LS affected transparency and color of WPC-based films, as can be seen in
315 Figures 1 and 2. Transparency decreased when LS was incorporated into film formulation,
316 and this effect was observed at all LS concentrations evaluated. These results could be related
317 with water-soluble organic carbon compounds from wood combustion present in LS that
318 absorbs visible light (Chen & Bond, 2010). Moreover, commercial LS contains caramel color
319 (E150) as a soluble food coloring that absorbs visible light at 560 nm (JECFA, 2011). Further,
320 the addition of LS decreased the pH of the film forming solutions, thus our observations
321 agreed with Pérez *et al.* (2014), who reported that transparency decrease in WPC-based films
322 at acidic pH could be explained due to a partial protein precipitation when the pH of the film
323 forming solutions is close to the isoelectric point of whey proteins ($pI \sim 5$). Thus, pH decrease

324 caused by LS addition in the film-forming solutions also contributed to the observed decrease
325 in films transparency.

326 In practical applications, color influences the appearance of edible films which in turn
327 condition consumer choice. The incorporation of LS into film formulation also affected the
328 color of the films decreasing the L* values (Fig. 2). These results reflect the fact that WPC-
329 based films became darker when LS was included in the film formulation. These changes
330 were expected because of the dark brown color of LS, which is characteristic of smoked
331 products. Besides, Du, Olsen, Avena-Bustillos, Friedman, and McHugh (2011) reported that
332 the inclusion of phenolic compounds darkened edible films made from apple puree. The
333 addition of LS caused an increase in yellowness and redness for WPC films as indicated by
334 higher a* and b* values when comparing to 0% LS films (Fig. 2). As a result, the
335 characteristic yellowish color of WPC-based films changed to brown with the addition of
336 increasing concentrations of LS at edible films formulation.

337

338 **3.3. Thickness and mechanical properties**

339 Table 3 shows thickness and parameters obtained from force-deformation curves in tensile
340 test for WPC-based edible films with different concentrations of LS and their respective pH
341 Control films. No significant difference in film thickness was detected among WPC-based
342 edible films containing different LS concentration. Our results were consistent with related
343 reports demonstrating that incorporation of phenolic and carboxylic compounds at various
344 concentrations did not significantly affect the thickness of protein films (Arcan &
345 Yemenicioğlu, 2011; Cheng, Wang, & Weng, 2015), suggesting that phenolic and carbonyls
346 compounds could be distributed in the film matrix without affecting the film thickness.

347 In reference to mechanical parameters, TS and E tend to increase when LS was added up to
348 LS 10% and tend to decrease when LS was 15%. On the other hand, TS and E parameters

349 where higher in films with LS compared with each pH Control film; however differences
350 were significant only between LS 10% and C 10%. This fact suggests that different
351 interactions between the protein-based film matrix and LS components should be considered
352 to explain the observed effect. Intermolecular hydrogen bonding between the N-terminal part
353 of whey proteins and phenolic compounds presented in LS could enhance the cross-linkage.
354 This phenomenon was reported by other researchers in edible films containing polyphenols.
355 For example, Sun, Wang, Kadouh and Zhou (2014) demonstrated that the incorporation of
356 gallic acid into chitosan films significantly increased its TS, which could be attributed to the
357 formation of intermolecular hydrogen bonding between the NH_3^+ of the chitosan backbone
358 and the OH^- of gallic acid, an hydrolysable tannin present in substantial amounts in teas and
359 coffees (Chaturvedula & Prakash, 2011). However, these authors reported that when the
360 added concentration of gallic acid was higher, the TS of the resulting films decreased possibly
361 because an excessive dispersion of the phenolic acid in the film which crack the inner
362 structure.

363 In order to go deeper in the study of these phenomena and taking into account that the LS
364 used in the present work contains suspended nanoparticles, particle size distribution and ζ -
365 potential of LS were studied. Size distribution of LS particles was bimodal, presenting two
366 peaks, one around 22.6 nm and the other at 177.4 nm, on average (Table 1). These particles
367 are generated during the wood combustion in LS industrial production. Laiho *et al.* (2015)
368 showed that average particle size of whey protein dispersions heated at 90 °C for 5 min was
369 600 nm and larger aggregates with a diameter around 7000 nm were also found to exist. Thus,
370 particles present in LS were smaller than aggregates formed by heating whey protein
371 suspensions. Therefore, LS particles could be included in interstitial spaces between protein
372 aggregates and this phenomenon certainly affected mechanical properties of films. Moreover,
373 LS had a negative ζ -potential at the three concentrations studied (5, 10, and 15% v/v),

374 although the absolute value decreased when the LS content increased (Table 1). So, in film
375 formation, three different situations should be distinguished according to the pH of the
376 environment. When 5% LS was added to film forming solution, the final pH reached 5.7,
377 being higher than the isoelectric point of whey proteins (pI~ 5). Since protein molecules and
378 LS particles were negatively charged, repulsive forces between protein-protein aggregates,
379 protein-LS, and LS-LS particles were the predominant interactions in the system. In film
380 forming solution with 10% LS the final pH reached 5.2, close to the pI, therefore, protein
381 aggregates with approximately zero net charge can get close enough to form strongly bonded
382 structures. Finally, when 15% LS was added to the film forming solution, protein aggregates
383 were positively charged because the final pH reached (pH=4.9) was lower than the pI and
384 repulsive forces between protein-protein and LS-LS and attractive forces among protein and
385 LS particles could be generated. In the first and in the last case, repulsive forces decreased the
386 occurrence of particle associations within the protein matrix and thus contributing to the
387 formation of films with lower TS values.

388

389 **4. Conclusions**

390 WPC-based edible films formulated with the addition of LS were effective to inhibit growth
391 of *L. monocytogenes*. Interestingly, despite the lack of an inhibition zone around the film
392 discs incorporating LS observed for *E. coli*, *S. aureus*, and *S. Typhimurium*, no evidence of
393 microbial growth over the discs was observed. The typical yellowish color of WPC-based
394 films changed to a more attractive brown color, characteristic of smoked products, with the
395 addition of increasing concentrations of LS at edible films formulation. In addition, these
396 color changes masked the lack of transparency when increasing LS concentration at the film
397 formulation. Moreover, WPC-based films with the addition of LS showed a characteristic
398 smoked aroma that may be attractive for consumers, suggesting that these films could be very

399 promising for food applications. Furthermore, incorporation of LS tend to increase
400 mechanical resistance of WPC-based films, which is a desired aspect for packaging
401 applications because preserves film integrity during processing and handling. Thus, edible
402 films described in this work could be an interesting alternative not only to improve
403 organoleptic aspect of wrapped foods but also quality and safety of food products including
404 dairy products, raw meat, vegetables and seafood, feasible of contamination with *L.*
405 *monocytogenes*, a recognized high-risk pathogen.

406

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604

605

606 **Table 1.** Characterization of commercial liquid smoke.

| | |
|--|---|
| Color | Dark brown |
| Total solid content (% w/w) | 4.26±0.07 |
| pH | 2.54±0.05 |
| Titrateable acidity (% acetic acid) | 1.6±0.1 |
| Total phenol derivatives (mg GAE kg ⁻¹) ^a | 6528±78 |
| Total carbonyl derivatives (mg kg ⁻¹) | 7848±77 |
| Potassium sorbate (mg/mL) | 0.699±0.009 |
| Particle size (nm) ^b | LS 5%: 18.8±1.1 (0.26); 161,1±16,5 (0.68) LS 10%: 26.6±5 (0.30); 177.9±30.7 (0.70) LS 15%: 22.6±2.5 (0.22); 193.2±44.1 (0.78) |
| ζ-potential (mV) | LS 5%: -29.7±2.3 LS 10%: -20.5±2.3 LS 15%: -12.1±2.9 |

607 ^a GAE, gallic acid equivalent.

608 ^b Numbers in parentheses refer to relative area of each peak.

609 Data corresponds to mean values and standard deviations of three samples.

610 **Table 2.** Inhibition zone assay of WPC-based edible films with different concentrations of LS
 611 in agar media.

| Strain | Diameter of inhibition zone (mm) | | | |
|---------------------------------------|----------------------------------|------------------------|------------------------|------------------------|
| | 0% | WPC films + LS | | |
| | | LS 5% | LS 10% | LS 15% |
| <i>E. coli</i> ATCC 43895 | 0 ^a | 0 ^a | 0 ^a | 0 ^a |
| <i>S. aureus</i> ATCC 43300 | 0 ^a | 0 ^a | 0 ^a | 0 ^a |
| <i>S. Typhimurium</i> ATCC 14028 | 0 ^a | 0 ^a | 0 ^a | 0 ^a |
| <i>L. monocytogenes</i> ATCC 19115 | 0 ^a | 1.7 ± 0.6 ^b | 3.6 ± 0.9 ^c | 5.5 ± 0.5 ^d |

612

613 WPC: whey protein concentrate. LS: liquid smoke.

614 pH Control films were always non-inhibitory.

615 Data corresponds to mean values and standard deviations of five samples.

616 Values with different letters in each column are significantly different ($p < 0.05$).

617 **Table 3.** Thickness and parameters derived from tensile test of WPC-based edible films with
618 different concentrations of liquid smoke and their respective pH Control films. TS: tensile
619 strength; E: elongation.

620

| Film | Thickness (mm) | TS (MPa) | E (%) |
|-------------|--------------------------|-------------------------|--------------------------|
| LS 0% | 0.140±0.005 ^a | 1.33±0.74 ^a | 1.43±0.53 ^{ab} |
| LS 5% | 0.137±0.008 ^a | 1.52±0.37 ^{ab} | 1.70±0.47 ^{abc} |
| LS 10% | 0.139±0.006 ^a | 2.62±0.82 ^b | 2.49±0.77 ^c |
| LS 15% | 0.132±0.005 ^a | 2.09±0.74 ^{ab} | 2.09±0.41 ^{bc} |
| C 5% | 0.139±0.014 ^a | 1.16±0.40 ^a | 1.07±0.24 ^a |
| C 10% | 0.127±0.005 ^a | 1.28±0.52 ^a | 1.14±0.38 ^a |
| C 15% | 0.140±0.004 ^a | 1.40±0.35 ^a | 1.51±0.32 ^{ab} |

621

622 Data corresponds to mean values and standard deviations of five samples.

623 Values with different letters in each column are significantly different ($p < 0.05$).

624

625

626 **Figure captions**

627

628 **Figure 1.** Transparency of WPC-based edible films with different concentrations of LS and
629 their respective pH Control films. Bars are based on standard deviations. Different letters
630 show significant differences ($p < 0.05$).

631

632 **Figure 2.** Colour parameters of WPC-based edible films with different concentrations of LS
633 and their respective pH Control films. Bars are based on standard deviations. Different letters
634 show significant differences ($p < 0.05$).

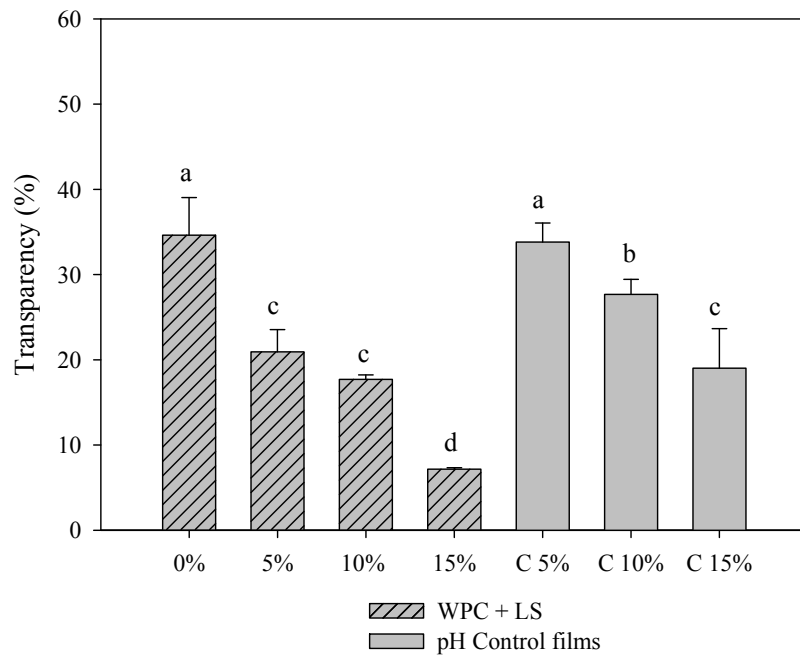


Figure 1

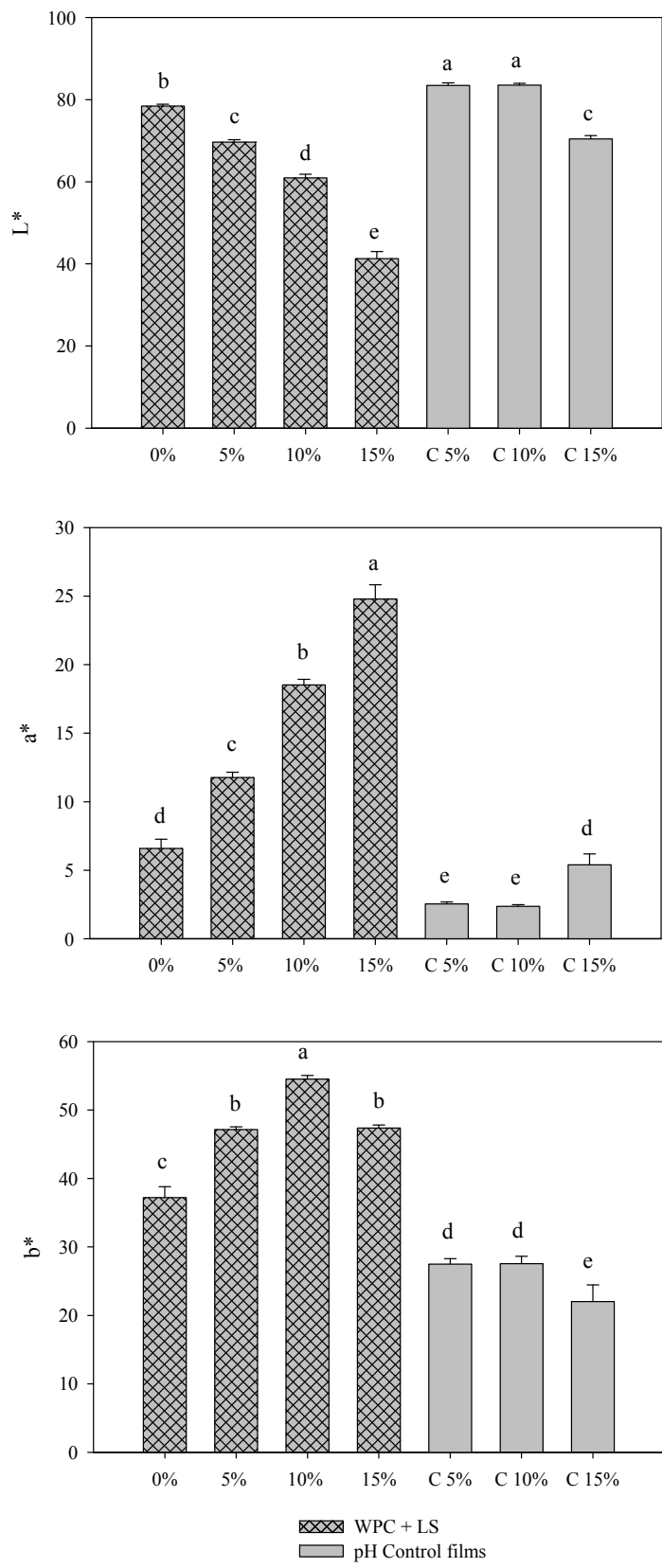


Figure 2